

Application No. 09/761,748  
Filed January 18<sup>th</sup> 2001

Group Art Unit: 2161

Examiner: Pierre Eddy Elisca  
Inventor: Michael Hu

### **RESPONSE TO FIRST OFFICE ACTION**

7. Applicant respectfully acknowledges Examiner's direction of enquiry to his office and provision of telephone numbers for the same, supervisor, for formal and draft facsimile transmission and the 'Official Fax Numbers For TC-2100' including 703/308-9051 "for formal communications intended for entry" and 703/746-7239 for 'Official' facsimile transmission.

#### **B. Submission of Evidence Overcoming Rejection**

1. Applicant respectfully submits that claims 12 - 22 all depend upon claim 11 which imposes a restriction upon base claim 1 in stipulating inclusion of:

declension of said ownership status to a condition indicating the type of ownership anticipated in a recognized chain of ownership types from creator to public as an indication of sale of an object bearing said origin and authentication code components by an entity recognized as being of the type of ownership indicated by the ownership status for said object.

2. Applicant respectfully submits that Amendment A, attached hereto, fully incorporates the restriction of claim 11 recited above into base claim 1.

#### ***Claim Objections - 35 USC § 103***

3. Applicant respectfully submits that Examiner has recognized claims 11 - 22 to be allowable if rewritten in independent form.

4. Applicant respectfully submits that Amendment A, attached hereto, by incorporating the restriction of canceled claim 11 into present claim 1, meets the objections to claims 11 - 22.

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### *Claim Rejections - 35 USC § 103*

5. Applicant respectfully submits that Amendment A, by incorporating the restriction of claim 11 recognized by Examiner as a basis for patentability distinguishing the present invention over the prior art in the indication of allowable subject matter, overcomes the rejection of claims 1 - 10 and 23 - 62 conveyed in the first Office action.

### C. Summary and Request for Allowance

1. Applicant respectfully submits that Amendment A, attached hereto, is in full and proper condition for entrance in accordance with 37 CFR §§ 1.121 & 1.126 including:

- a. a full clean version of all claims 1 - 62 indicating that claims 1, 12, 14, 16 have been amended and directing cancellation of claim 11;
- b. a marked up version of claims 1, 12, 14, 16 indicating that the restriction of original claim 11 has been incorporated into claim 1 and that dependence of claims 12, 14, and 16 has shifted from canceled claim 11 to amended claim 1;
- c. preservation of the original numbering of the claims 1 - 62.

2. Applicant respectfully submits that this response including Amendment A has been timely filed.

3. Applicant respectfully submits that Amendment A meets all objections to, and overcomes all rejections of, present claims 1 - 10 and 12 - 62 as demonstrated above in paragraphs B.1 - 5 indicating incorporation of a restriction in claim 11 indicated as basis for allowance into present base claim 1.

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4. Applicant respectfully submits, for all the reasons given above, that entrance of Amendment A will place the present application in full and proper condition for allowance which actions are further humbly and respectfully requested.

Respectfully yours,

A handwritten signature in black ink that reads "Peter Gibson, Reg. #34,605". The signature is written in a cursive, flowing style.

Peter Gibson, Reg. #34,605

Tel. 410/358-5912; Fax -9636